

# COMPLETING YOUR FORMS

## Sample Completed Forms

Use to assist completion of enclosed documents. To expedite your request, please complete all boxes.

**Fidelity Investments**  
Fidelity Brokerage Services, Inc.  
c/o National Financial Services Corporation  
P.O. Box 3750  
New York, NY 10008-3750

**IRREVOCABLE STOCK OR BOND POWER**

B.401-FORM-OSC-897

To allow Fidelity Brokerage Services, Inc. to process your certificate(s) in the absence of your endorsement on the certificate(s), please complete and sign this stock/bond power, which we will then attach to the certificate(s). If you have any questions, please call our Brokerage Account Assistance Line 24 hours a day at 1-800-544-8666.

**1 CUSTOMER INFORMATION**  
Name of Fidelity account owner who will receive the securities  
JOHN Q PUBLIC  
Brokerage Account Number  
X111-234567

Joint Owner  
[ ]

**2 IRREVOCABLE STOCK OR BOND POWER**  
**FOR VALUE RECEIVED**, the undersigned does (do) hereby sell, assign and transfer to NATIONAL FINANCIAL SERVICES CORPORATION  
Number of Shares  
100 shares of the COMMON  
Company Name  
ABC INC  
stock of  
represented by Certificate(s) No(s)  
Certificate Nos. (cont.)  
inclusive, standing in the name of the undersigned on the books of said Company.

IF STOCK, COMPLETE THIS PORTION:

Number of Bonds  
[ ] bonds of [ ]  
Face Amount  
in the principal amount of \$ [ ]  
Certificate Nos.  
represented by Certificate(s) No(s)  
Certificate Nos. (cont.)  
inclusive, standing in the name of the undersigned on the books of said Company.

IF BONDS, COMPLETE THIS PORTION:

The undersigned does (do) hereby irrevocably constitute and appoint National Financial Services Corporation attorney to transfer the said stock or bond(s) as the case may be, on the books of said Company, with full power of substitution in the premises. I represent to you that: (1) I have personally printed the foregoing Fidelity Stock or Bond Power form, and (2) the terms of this form have not been changed and are identical to the terms as originally set forth by you. I acknowledge that any deviation from Fidelity Stock or Bond Power form's original terms shall be void, and I shall be bound by the terms of the original form as set forth by Fidelity. I agree that Fidelity Brokerage Services, Inc. may terminate this Stock or Bond Power service in the event that it has reasonable grounds to believe the original Stock or Bond Power form has been altered.

Note: If the stock is registered in more than one name, please have all parties sign at the "X" exactly as their name(s) appear on the certificate(s). A notary is not a signature guarantee. A guaranteed signature can be obtained at any Fidelity Investor Center.

Signature Guarantee  
X John Q. Public  
X [ ]  
DATED 01-02-97

Fidelity Brokerage Services, Inc. Member NYSE, SIPC.

38387.001

Name of Fidelity Account Owner

Number of (stock) shares

Name of Company issuing (stock) shares

Fidelity Brokerage Account Number

Name of Assignee (enter name shown)

Indicate whether common or preferred stock

Name of Company issuing options, the "Issuer"

Signature (If stock is registered in more than one name, all parties must sign as their name(s) appear on the certificate(s).)

Date

Exercise Instructions (choose one)

Phone number where you may be reached, if you would like to discuss details of the transaction

Signature

Your company's name, the "Issuer"

Signature

Social Security Number

Print Name

Fidelity Account Number

Print Name

Date

Tax handling instructions for non-qualified grants (check one)

Options (List Number of Shares, Exercise Price, Grant Date)

Date

**Fidelity Investments**  
Employee Stock Option Service  
P.O. Box 5000  
Cincinnati, OH 45273-8699

**EMPLOYEE STOCK OPTION NOTICE OF INTENT AND AGREEMENT**

To Fidelity Brokerage Services, Inc., and National Financial Services Corporation ("Fidelity"):  
I hereby request that Fidelity extend credit to me in my margin account and remit funds payable to: ABC INC (Name of Company issuing options, the "Issuer")

in the payment of aggregate exercise price (the "Exercise Price"), plus any applicable withholding for taxes, of employee stock options (the "Options") enabling me to purchase shares (the "Shares") of common stock of the Issuer, as set forth below in the Notice of Intent to Exercise form or other form acceptable to the Issuer. I authorize and direct Fidelity to take delivery of the shares and to deposit the shares in my Fidelity margin account. I am forwarding a signed stock power to permit the deposit of the shares into my account upon receipt by Fidelity.

**In connection with the exercise of the Options, I represent that:**

- The Options were granted in connection with my employment, I am currently authorized to exercise them, and I have received from the Issuer a prospectus covering the sale of the shares to me.
- I understand that the exercise of the Options and/or the subsequent sale of the Shares may have significant tax consequences. I further understand that Fidelity and its employees are not authorized to give me tax or investment advice, and I have consulted such other sources I deem appropriate in connection with my transactions.
- I am aware that various federal and state laws or regulations may be applicable to my transactions, including, but not limited to Rule 144 under the Securities Act of 1933, and Section 16(b) of the Securities Exchange Act of 1934, and I shall conduct these transactions in conformity with all applicable laws and regulations.
- I agree to be liable for any losses Fidelity may incur in the event any documents or information necessary to the transactions are not accurate or provided to Fidelity in a timely manner.
- I agree that I have read and understand the terms and conditions of my Margin Agreement, including the potential for stock buy in.

**Exercise Instructions**  
Please check the appropriate box:  
 Do not exercise my stock options or sell my stock at this time. Hold the forms on file until I call with instructions.  
 Please call me as soon as you receive my Employee Stock Option Notice of Intent and Agreement Forms. I can be reached at: 61755551212 (Check this box if you would like to discuss other exercise and sale arrangements or if you would like to go over the details of the transaction.)

I authorize Fidelity, the Plan Administrator and/or the Issuer to exchange information regarding the acquisition and disposition of the shares, including, without limitation, notification of the sale of stock acquired as a result of exercise of a stock option, and matters relating to specific tax withholding. I understand that this extension of credit by Fidelity for option exercise and payment of any required withholding taxes and certificate issuance fees will result in a debit balance in my margin account, that Fidelity will charge interest on all credit extended to me, and that all transactions in this account will be subject to the provisions of my Margin Agreement.

Signature: John Q. Public  
Print Name: JOHN Q PUBLIC  
Date: 01-02-97

**NOTICE OF INTENT TO EXERCISE:**  
To: ABC INC  
(Your company's name, the "Issuer")

I hereby give my notice of intent to exercise options granted to me, entitling me to purchase shares of common stock on payment in the amount set forth below of the exercise price for said shares and satisfaction of all other requirements of the option grant. I request that you cause such shares to be issued upon receipt of this notice, a properly endorsed exercise notice and payment of the exercise price. I further request that the common stock issued from this exercise be delivered, registered in my name to:

Fidelity Brokerage Services, Inc.  
Employee Stock Option Service  
P.O. Box 5000  
Cincinnati, OH 45273-8699  
within 7 business days for deposit to my Fidelity margin account.

I represent to you that: (1) I have personally printed the foregoing Fidelity Employee Stock Option Notice of Intent and Agreement form, and (2) the terms of this form have not been changed and are identical to the terms as originally set forth by you. I acknowledge that any deviation from Fidelity Employee Stock Option Notice of Intent and Agreement form's original terms shall be void, and I shall be bound by the terms of the original form as set forth by Fidelity. I agree that Fidelity Brokerage Services, Inc. may disregard this Employee Stock Option Notice of Intent and Agreement form in the event that it has reasonable grounds to believe the original Employee Stock Option Notice of Intent and Agreement form has been altered.

Signature: John Q. Public  
Print Name: JOHN Q PUBLIC  
Date: 01-02-97

Social Security Number: 123456789  
Fidelity Account Number: X11234567

38387.001  
Fidelity Brokerage Services, Inc. Member NYSE, SIPC.  
ESO-NOTICE-OSC-897

**Tax handling instructions** (For non-qualified grants)  
 Contact Fidelity for any applicable tax to be paid from my account.  
 I will handle any applicable tax withholding personally.  
 Withhold shares from my options for tax purposes (if applicable). I understand that this may delay the sale of the shares.

**List Option(s):**  
Number of Shares: 100  
Exercise Price: 30.00  
Grant Date: 121592